FORM PTO-1390
(REV 11-2000)
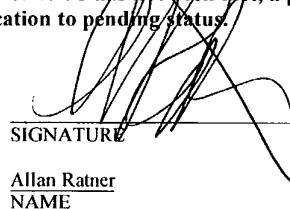
U S DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

MTS-3305US

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371

U S APPLICATION NO (If known, see 37 CFR 1 5)

To Be Assigned 10/049977

INTERNATIONAL APPLICATION NO. PCT/JP01/05182	INTERNATIONAL FILING DATE 18.06.01 (18 June 01)	PRIORITY DATE CLAIMED 19.06.00 (19 June 00)

TITLE OF INVENTION
IDENTIFICATION NUMBER MANAGING APPARATUS, IDENTIFICATION NUMBER MANAGING SYSTEM AND IDENTIFICATION NUMBER MANAGING METHOD

APPLICANT(S) FOR DO/EO/US
Akira Ochi

Applicant herewith submits to the united States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.

2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.

3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.

4. ☐ The US has been elected by the expiration of 19 months from the priority date (Article 31).

5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))

    a. ☒ is attached hereto (required only if not communicated by the International Bureau).
    b. ☐ has been communicated by the International Bureau.
    c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).

6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).

    a. ☒ is attached hereto.
    b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).

7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))

    a. ☐ are attached hereto (required only if not communicated by the International Bureau).
    b. ☐ have been communicated by the International Bureau.
    c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
    d. ☒ have not been made and will not be made.

8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).

9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (UNEXECUTED)

10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern documents(s) or information included:

11. ☒ An Information Disclosure Statement under 37 U.S.C. 1.97 and 1.98.

12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.

13. ☒ A FIRST preliminary amendment.

14. ☐ A SECOND or SUBSEQUENT preliminary amendment.

15. ☐ A substitute specification.

16. ☐ A change of power of attorney and/or address letter.

17. ☐ A computer readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 – 1.825.

18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).

19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

20. ☒ Other items or information: International Search Report for International Application No. PCT/JP01/05182 (English and Japanese)

U.S APPLICATION NO. (If known, see 37 CFR 1.5) To Be Assigned 10/049977	INTERNATIONAL APPLICATION NO. PCT/JP01/05182	ATTORNEY DOCKET NUMBER MTS-3305US

21. ☒ The following fees are submitted:

BASIC NATIONAL FEE (37 CFR 1.492(a)(1) – (5)):

CALCULATIONS PTO USE ONLY

☐ Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO... .... $1040.00

☒ International preliminary examination fee (37 CFR 1.482)not paid to USPTO but International Search Report prepared by the EPO or JPO.... ..... $890.00

☐ International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO $740.00

☐ International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) $710.00

☐ International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) $100.00

ENTER APPROPRIATE BASIC FEE AMOUNT =

$ 890.00

Surcharge of $130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				$	

CLAIMS	NUMBER FILED	EXTRA NUMBER	RATE		
Total claims	24- 20 =	04	X $18.00	$ 72.00	
Independent claims	02 - 3 =	00	X $84.00	$	
MULTIPLE DEPENDENT CLAIM(S) (if applicable) ☐			+ $280.00	$	

TOTAL OF ABOVE CALCULATIONS =	$	
☐ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.	$	
SUBTOTAL =	$ 962.00	
Processing fee of $130.00 for furnishing the English translation later than ☐ 20 ☐ 30 Months from the earliest claimed priority date (37 CFR 1.492(f)). +	$	
TOTAL NATIONAL FEE =	$	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). $40.00 per property +	$	
TOTAL FEES ENCLOSED =	$ 962.00	
	Amount to be refunded:	$
	Charged:	$

a. ☒ A check in the amount of **$962.00** to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. _____ in the amount of $_____ to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. **18-0350**. A duplicate copy of this sheet is enclosed.

d. ☐ Fees are to be charged to a credit card. **WARNING: Information on this form may become public. Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:
Ratner & Prestia
P.O. Box 980
Valley Forge, PA 19482
Tel: (610) 407-0700

SIGNATURE

Allan Ratner
NAME

19,717
REGISTRATION NUMBER

February 19, 2002
DATE